

SYBMS (Fin)

415/18

2 to 4.30

Total Marks : 75

Fin. Insti & MKB

Q.P. Code: 34365

Duration: 2.5 hrs

page 2

NB 1. All questions are compulsory

2. All questions carry equal marks

3. Figures to the right indicate maximum marks

120

Q1) (a) Filling in the blank (solve any 8 out of 10)

(08 Marks)

- \_\_\_\_\_ is the nodal agency to regulate the capital markets and other related issues in India.  
a. SEBI                      b. RBI                      c. MOF
- \_\_\_\_\_ is not the components of financial system.  
a. Financial Instruments      b. Financial Services      c. Financial Engineering
- \_\_\_\_\_ are also known as time deposits.  
a. Current deposits              b. Savings                      c. Fixed Deposits
- \_\_\_\_\_ Department renamed as Department of Investment and Public Asset Management.  
a. Department of Financial Services      b. Department of Revenue      c. Department of Disinvestment
- MIBOR refer to \_\_\_\_\_  
a. Municipal Inter Bank Offer Rate      b. Mumbai Interbank Offer Rate      c. Mumbai Interbank opinion Rate
- They \_\_\_\_\_ are issued by the seller (drawer) on the buyer (drawee) for the value of goods delivered by him.  
a. Commercial Papers              b. T-Bills                      c. Commercial bills
- \_\_\_\_\_ is called as central bank of U.S  
a. Bank of Japan              b. Bank of England              c. Federal Reserve
- \_\_\_\_\_ Efficiency where the financial products and system allocates financial resource to the most productive and valuable use.  
a. Operational                      b. Allocative                      c. Dynamic
- In \_\_\_\_\_ financial systems, securities markets share center stage with banks in term of getting society's saving to firms, exerting corporate control, and easing risk management.  
a. Bank based                      b. Market Based                      c. Market oriented
- \_\_\_\_\_ are also deposit taking cooperative banks the specialize in SME financing  
a. City                      b. Regional                      c. Credit Co-operatives

Q1 (B) State whether the statements is True or False (solve any 7 out of 10)

(07 Marks)

- SEC is a non- Profit, co-operative financial institutions owned and run by its members.
- Yankee bonds are bonds of Japan issued in US.
- Call money is a promissory note issued by the RBI to meet the short term requirement of fund.
- Cash credit is mainly used by the bank to meet their temporary requirement of cash.
- Grameen model are small, informal and homogeneous group of 20 members each.
- Companies in certain industries may be exempt from specific provisions of the company act to address the business needs of that industry.
- RBI became the public sectors bank from 1<sup>st</sup> January, 1949.
- The IFCI has promoted ICRA Ltd, a credit rating agency to help investors undertake investment decision.
- Livestock Risk Protection (LRP) works like a call option.
- Close – ended mutual funds does not have a fixed number of units, and a fixed tenure.

Q2) (a) Elaborate capital markets and its instruments. (8 Marks)

Q2) (b) What is financial services and scope of Financial services? (7 Marks)

OR

Q2 (c) What are the objective and power of SEBI? (7 Marks)

Q2 (d) Explain Ministry of Finance. (8 Marks)

Q3) (a) What are significance of Money market? (7 Marks)

Q3 (b) Explain the meaning and function of stock Exchange. (8 Marks)

OR

Q3) (c) What are the advantages and disadvantages of Derivative. (8 Marks)

Q3) (d) What are Commodity exchanges in India? (7 Marks)

Q4) (a) What the features of Mutual Fund? (8 Marks)

Q4) (b) What are the Characteristics of insurance? (7 Marks)

OR

Q4) (c) What are various dimension of well-functioning financial systems? (8 Marks)

Q4) (d) What are strategies for dealing with conflict? (7 Marks)

Q5) (a) State the services rendered by Merchant Banking. (8 Marks)

Q5) (b) What are the advantage of IPO? (7 Marks)

OR

Q5) Short notes (solve any 3) (15 Marks)

1. Self Help Groups (SHGs)
2. Microfinance companies
3. Industrial Development bank of India (IDBI)
4. American Depository Receipt (ADRs)
5. Bombay stock exchange (BSE)